

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Richard BROWN et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: 02/15/2002

Group Art Unit:

Title: SECURE E-MAIL HANDLING USING A COMPARTMENT OPERATING SYSTEM



COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☒ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Richard BROWN et al.

By

William T. Ellis

Attorney/Agent for Applicant(s)
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Date: 02/15/2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

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Signature: _____

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	30006610-2		
	APPLICANT		
	Richard BROWN et al.		
	FILING DATE	GROUP	
	02/15/2002		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	6,292,900 B1	09/18/2001	Ngo et al.	713	200
	1B	6,275,848 B1	08/14/2001	Arnold	709	206
	1C	6,272,631 B1	08/07/2001	Thomlinson et al.	713	155
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L	0 926 605 A1	06/30/1999	Europe				
	1M	0 849 680 A2	06/24/1998	Europe				
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	"Sophos Anti-Virus for Notes/Domino Release Notes", Version 2.0 February 2000 [retrieved on 30-11-2001]. Retrieved from the internet via http://www.sophos.com/sophos/products/full/readmes/readnote.txt , 2 pages
	1R	PC Magazine Online, "The 1999 Utility Guide: Desktop Antivirus", Norton Antivirus 5.0 Deluxe [retrieved on 30-11-2001], Retrieved from the internet via http://www.znet.com/pcmag/features/utilities99/deskav07.html , 2 pages
	1S	

EXAMINER

DATE CONSIDERED